

## Processing of a Typical NCAA Infraction Case

- 1 Information indicating possible violation received and evaluated by NCAA enforcement Staff
  - a. Information is NOT substantiated. No further review is warranted. END
  - b. Information IS determined to be reasonably substantial. Institution is NOTIFIED that PRELIMINARY INVESTIGATION will be conducted by enforcement staff. Go to 2.
- 2 PRELIMINARY INVESTIGATION by enforcement staff complete:
  - a. Staff determines that case should be closed for lack of evidence. Institution notified that case is CLOSED. END
  - b. Violation is confirmed, and it is determined to be secondary in nature. An appropriate penalty is determined by the enforcement staff and/or approved by a designated Committee on Infractions member. Institution notified of penalty, if any, and may appeal to Committee on Infractions. END
  - c. Violation is confirmed, and it is believed by staff to be major in nature. The Institution and enforcement staff discuss the summary-disposition process. Go To 3.
- 3 Institution, in consultation with enforcement staff and other involved individuals, determines its position on possible violations
  - a. Either a Summary Disposition Report is Written and accepted by all involved parties and forwarded to Committee on Infractions for its review in private. Go To 4.
  - b. The Enforcement Staff issues a Notice of Inquiry. Go To 5.
- 4 Committee Reviews Summary Disposition Report and
  - a. Committee accepts findings and proposed penalties. Infractions report is released. END
  - b. Committee accepts findings but rejects proposed penalties.
    - i. Either Expedited Hearing concerning Penalties Only, or
    - ii. Full Hearing concerning findings and penalties.
    - iii. Go To 6
  - c. Committee does not accept findings. Go To 5.
- 5 Following either Notice of Inquiry, or Committee rejection of Summary Disposition Report:
  - a. A Notice of Allegations is forwarded to Institution and involved individuals
  - b. Institution and involved individuals conduct investigation (if necessary) and prepare written responses to Notice of Allegations or elect Summary-Disposition process
  - c. Committee on Infractions conducts Hearing (to determine findings and penalties) involving institution's representatives, involved individuals, and enforcement staff
- 6 Committee on Infractions report is forwarded to Institution and involved individuals including findings and penalties.
  - a. Institution (or involved individuals) indicates it will accept findings and penalties in Infraction Report. END
  - b. Institution (or involved individuals) indicates it will appeal certain findings or penalties to the appropriate appeals committee. Go To Processing of a Typical NCAA Infractions Appeals Case

There is a similar procedure for Appeals